



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Thomas Brockmann, et al )  
Serial No.: 09/845,506 )  
Filed: April 30, 2001 )  
For: A LIGHTING FIXTURE )

Examiner: Unknown  
Art Unit: Unknown  
Irvine, California  
October 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner of  
Patents, Washington, D.C. 20231 on 10/23/01

Susan M. Langworthy  
Name of applicant, assignee or Registered

Representative

Susan M. Langworthy  
Signature  
October 23, 2001  
Date

**RESPONSE TO NOTICE OF INCOMPLETE  
REPLY (NONPROVISIONAL)**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) mailed  
October 16, 2001, applicant hereby submits substitute drawings (12 sheets) in compliance  
with 37 CFR 1.84.

Respectfully submitted,

Date: Oct 23, 2001

By: Howard J. Klein  
Howard J. Klein, Registration No. 28,727

KLEIN & SZEKERES, LLP  
4199 Campus Drive, Suite 700  
Irvine, California 92612  
Telephone: (949) 854-5502



Page 1 of 1  
See for

# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,506         | 04/30/2001          | Thomas Brockmann      | 606-16-PA              |

## CONFIRMATION NO. 2090

### FORMALITIES LETTER



\*OC00000006916683\*

KLEIN & SZEKERES, LLP  
Suite 700  
4199 Campus Drive  
Irvine, CA 92612

Date Mailed: 10/16/2001

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### *Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/13/2001 to the Notice to File Missing Parts (Notice) mailed 08/30/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

- A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.
- The application is informal since it does not comply with the regulations for the reason(s) indicated below.
- The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE